

Applicant: Barberich et al. Title: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE (R)-ALBUTEROL

Enclosed: Division-Continuation Program Application
Transmittal Form (in duplicate)
Application which includes: Specification (5
Pages); claims (2 pages) and Abstract (1 page)

Declaration

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barberich et al.

Serial No.: 08/335,480

Group Art Unit: 1205

Filed: November 7, 1994

Examiner:

Title: METHOD FOR TREATING ASTHMA USING OPTICALLY PURE

(R) -ALBUTEROL

### CERPTELCATE OF MAILING

Thereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Application Processing Division, Special Processing and Correspondence Branch, Washington, D.C. 20231, December 21, 1994.

Philip E. Hansen Agent for Applicant Reg. No. 32,700

Date of Signature: Rumber 21, 1994

To: Hon. Commissioner of Patents and Trademarks
Application Processing Division
Special Processing and Correspondence Branch
Washington, D.C. 20231

Response to Notice of Incomplete Application Filed Under 37 C.F.R. 1.60

Dear Sir:

This is in response to the Notice of Incomplete
Application in the above case. Response is required by
Pebruary 16, 1995; this response is therefore timely filed.
The Notice indicates that the copy of the specification filed
on November 7, 1994 was missing pages 2 and 3. Enclosed
herewith are copies of pages 2 and 3 and a copy of the Notice.

P.\USPASARFP001027C.RES December 21, 1994 Barberich et al. Serial No.: 08/335,480 Riled: November 7, 1994 Page -2-

I hereby verify that the attached pages 2 and 3 are true copies of the latest inventor signed prior application, serial number 08/163,581 as originally filed on December 7, 1993 and further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

gnillp B. Hansen Agent for Applicants Reg. No. 32,700

Dated: December 21, 1994

Address for Correspondence: Philip E. Hamsen Heslin & Rothenberg, P.C. 5 Columbia Circle Albany, New York 12203-5760 Telephone: (518) 452-5600 Facsimile: (518) 452-5579

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specific biological activity while the other enantiomer has no biological activity at all, or may have an entirely different form of biological activity.

## Summary of the Invention

The present invention relates to a method of treating bronchial disorders, such as asthme, in an individual, by administering to the individual an amount of optically pure R(-) albuterol which is 10 active in bronchial tissue sufficient to reduce . bronchial spasms easociated with eachma while minimizing side effects associated with albuterol The method is particularly useful in treating asthmawhile reducing side effects, such as central nervous 15 system stimulatory affects and cardiac arrythmia. In these applications, it is important to have a composition which is a potent broncho-dilator and which does not exhibit the adverse side effects of many beta adrenergic drugs. A composition 20 containing the pure R(-) isomer of albuterel is particularly useful for this application because this isomer arhibits these desired characteristics. The present method provides a safe, effective method for treating asthma while reducing undesirable side 25 effects, for example, tremot, narvousness, shakiness, dizziness and increased appetite, and particularly, cardiac arrythmia, typically associated with beta-adrenergic drugs. In children, side effects such as excitement, nervousness and 30 hyperkinesia are reduced when the pure isomer is

Exhibit E

administered. In addition to the above, at certain levels racemic albuterol can cause teratogenic effects, which are believed to be associated with the S(+) isomer. Administering the pure isomer reduces the teratogenic potential which is associated with the S(+) isomer of albuterol.

#### Detailed Description of the Invention

The present invention relies on the bronchodilation activity of the R(-) enantiomer of alburerol to provide relief from bronchial disorders, while simultaneously reducing undesizable side effects, for example, central nervous system stimulatory effects and cardiac disorders, commonly experienced by albuterol users. In the present 15 method, the optically pure R(-) isomer of albuterol, which is substantially free of the S(+) enantiomer, is administered alone, or in combination with one or more other drug(s) in adjunctive trestment, to an individual in whom asthma relief (e.g., relief from 20 bronchial spasms, shortness of breath) is desired. The optically pure R(-) isomer of albuterol as used herein refers to the leverotatory optically pure isomer of a [(rest-butylamino) methyl]-4-hydroxy-mxylene-a, a -dipl, and to say biologically acceptable salt or ester thereof. The terms "optically pure" or "substantially free of the S(+) enantiomer" as used herein means that the composition contains at least 90% by weight of the R(-) isomer of albuterol and 10% by weight or less of the S(+) isomer. Optically pure albuterol is readily

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	d James W. Young	Method for for Optically	Treating As	thms Using	
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orginally filed.	hereby verify that the attach	ied papers are a true cop	y of the latest inv	entor signed prior	
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200	New formal drawings are enclosed.	
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	(country) is claimed under 35 U.S.C. 119  The certified copy has been filed in prior application serial no.	
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	or application is assigned of record to Septracor, Inc.	
9. 🛆 A ver	ninary amendment is enclosed. Med statement claiming small entity status is enclosed in parent application.	
Serial	Number 08/163,581 , med December 7, 1993 and is will proper.	
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Art	orney to Philip E. Bansen was filed July 14, 1993	
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Serial Number: 08/335,480

Art Unit: 1205

### CLAIMS 1-12 ARE PRESENTED FOR EXAMINATION

Applicants' amendment filed November 7, 1994 and the Information

Disclosure Statement filed November February 10, 1995 have been received and entered into the application: Accordingly, the specification at page 1, line 1 has been amended and as reflected by the attached, completed form PTO-1449, the submitted references have been considered.

The following is a quotation of 35 U.S.C. § 103 which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

Claims 1-12 are rejected under 35 U.S.C. § 103 as being unpatentable over

Muittari et al. (CK) in view of Brittain et al. (CB), Hawkins et al. (CD) and Hartley

et al. (CC).

Serial Number: 08/335,480 Art Unit: 1205

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Muittari et al. teach compositions containing salbutamol, i.e., albuterol, and additional active agents including hydroxyzine, an antihistamine, and the administration thereof to patients for the treatment of asthma.

The differences between the above and applicants' claimed subject matter lie in that the reference fails to highlight:

- (1) the optically pure (R-) isomer of albuterol substantially free from the S(+) isomer;
  - (2) the claimed ingredient amounts; and
- (3) the presence of an analgesic such as aspirm, acetaminophen or ibuprofen in the composition.

However, to the skilled artisan, applicants claimed subject matter would have been obvious because:

(1) The expectation with regard to enantiomers is that their activities, as they pertain to living systems, are expected to be different: In re Adamson, 275 F.2d 952, 125-U.S.P.Q. 233 (C.C.P.A. 1960). The fundamentals of optical activity and stereoisomerism well known to persons having ordinary skill in the art. A person having ordinary skill in the art would have known how to resolve the racemic mixture and would have been motivated to do so with the reasonable expectation of achieving isolating the enantiomer having the optimum pharmacological activity. It appears as though applicant has determined experimentally what a person of ordinary skill in the art would have expected,

Serial Number: 08/335,480 Art Unit: 1205

namely, that the racemic mixture of the prior art may be separate S(+) and R(-) enantiomers possessing differing degrees of pharmacological activity. This would have been an expected result. Also expected would have been that the R(-) enantiomer is the most active of the two given the teachings of Brittain et al. at page 144, "(1)" under the "Summary"; Hawkins et al. at page 857, column 1, lines 2-6; and Hartley et al. at page 895, column 2, second full paragraph. It is well established that expected beneficial results are evidence of obviousness of a claimed invention just as unexpected beneficial results are evidence of nnobyjousness. In re Skoll, 523 F.2d 1392, 187 U.S.P.Q. 481 (C.C.P.A. 1975); In re Skoner, 517 F.2d 947, 186 U.S.P.Q. 80 (C.C.P.A. 1975; In re Gershon, 372 F.2d 535, 152 U.S.P.Q. 602 (C.C.P.A. 1967).

- (2). The determination of the optimum ingredient amount to administer would have been a matter well within the purview of the skilled artisan who would have been motivated to make such a determination in order to provide the most effective therapy possible; and
- (3). Since a patient suffering from asthma often experiences discomfort. upon respiration, the concomitant use of an analgesic to relieve such discomfort would have been an obvious selection and the skilled artisan would have been motivated to do so in order to provide the most effective therapy possible.

Serial Number: 08/335,480 Art Unit: 1205

Claims 1-8 are rejected under the judicially created doctrine of obviousness-type double patenting as being uppatentable over claims 1-7 of U.S.

Patent No. 5,362,755. Although the conflicting claims are not identical, they are not patentably distinct since chronic administration of R(-) albuterol and the

attendant advantages thereof are clearly within the scope of the present claims.

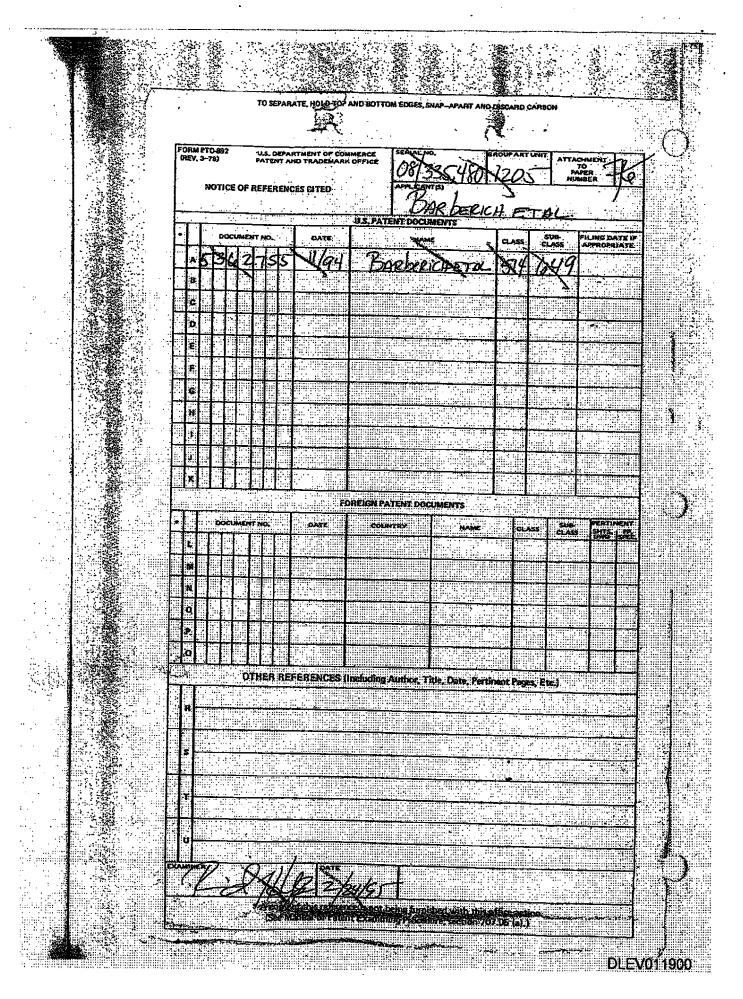
The obviousness-type double patenting rejection is a judicially established doctrine based upon public policy and is primarily intended to prevent prolongation of the patent term by probibiting claims in a second patent not patentably distinct from claims in a first patent. In re Vogel, 164 USPQ 619 (CCPA 1970). A timely filed terminal disclaimer in compliance with 37 C.F.R. § 1.321(b) would overcome an actual or provisional rejection on this ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 C.P.R. § 1.78(d).

Thus, for the above reasons, the claims are deemed to be properly rejected and none of the claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ray Henley whose telephone number is (703) 308-4652.

RAYMOND HENLEY, III PRIMARY EXAMINER GROUP 1220

Henley; rjh February 24, 1995



[11] Patent Number: 5,362,755

[45] Date of Patent: Nov. 8, 1994

## [\$4] METHOD FOR TREATING ASTHMA USING OPTICALLY PURE (R)-ALBUTEROL

Inited States Patent [19]

[75] Inventors: Timothy J. Barberch, Concord; James W. Young, Still River, both of Mass.

[73] Assignee: Sepracor, Inc., Marlborough, Mass.

[71] Appl No.: 169,581

Barberich et al.

[22] Filed: Dec. 7, 1993

# Related U.S. Application Data

[53]. Communica of Ser. No. 896,725, Jun. 9, 1992; alian-doned, which is a continuation of Ser. No. 461,262. Jan. 5, 1990, abandoned.

514/649, 5147826 514/649, 826

[56] References Cited FOREIGN PATENT DOCUMENTS FOREIGN PATEIN) LOGGOW 2255563 7/1992 United Kingdow

## OTHER PUBLICATIONS

R. T. Brittain et al., Br. J. Pharmacol. 48:144-147.

(1973). C. J. Hawkins and G. T. Klease, J. Med. Chemistry, 16(7):856-857 (1973).

D. Hartley and D. Middlemiss, J. Med. Chemistry, 14(9):895 (1971).

C. K. Buckner and P. Abel, J. Pharmacol. Exp. Ther., 189(3):615-625 (1974).

189(3):b15-625 (1974):
Tan et al., "Analysis of Salbutomo, Enautioniers inHuman Urine by Chiral High Performance Liquid
Chromatography and Preliminary Studies Related to
the Stereoselective Disposition Kinetics in Man", I.
Chromatogra, 422, 187-95 (1987).
Chromatography Alexandre 20,170540, (1977)

Chemical Abstracts 89:123259m (1978):

Primary Examines—Raymond J. Henley, Hi Attorney: Agent, or Firm—Heslin & Rothenberg. [57] ABSTRACT The optically pure Rim history of all primary of all prim

The optically pure R(--) isomer of albuterol; which is substantially free of the S(+) isomer, is a potent bronchedilator for relieving the symptoms associated with asthma in individuals. A method is disclosed utilizing. the optically pure R(--) isomer of albuterol for treating assume while minimizing the side effects associated. with chronic administration of facernic albuterol.

5,362,755

optically pure active isomer of albuterol and another drug) can be administered in one composition or as two separate entities. For example, they can be administered in a single capsule, tablet, powder, or liquid, etc. or as individual compounds. The components included in a 5 particular composition, in addition to optically pure albuterol and another drug or drugs, are determined primarily by the manner in which the composition is to be administered. For example, a composition to be administered in subalent form can include, in addition to 10 the drigit), a liquid carrier and/or propellent. A com-position to be administered in tablet form can include a position to be administered in tablet form can include a filler (e.g., lactore), a binder (e.g., carboxymethyl cellulose, gram arabic, gelutin), an adjavant, a flavoring agent, a coloring agent and a coating material (e.g., war 15 or a plasticitier). A composition to be administered in liquid form can include the equibination of drugs and, optionally, an emulsifying agent, a flavoring agent and/or a coloring agent.

In general according to the method of the versent 20

In general, according to the method of the present 20 invention, the optically pure R(,-) isomer of albuterol, alone or in combination with another drug(s), is administened 20 as individual periodically as necessary to

roduce symptoms of authors.

The present composition and method provide an 25 effective treatment for asthma while minimizing the undertrable side effects associated with albiteral use. These side effects include central nervous system effects, such as tremer, nervousness, shakiness, dizzness and increased appetite, and cariline effects, such as car-30 and interested appetite, and contract effects, such as earlied (fact arrythmis: In children, side effects, such as earlied ment, nervousness and hyperkinesis, are reduced when the pure isomer is administered. In addition, tentogenic effects associated with albuterol are believed to reside in the S(+) canoniconer. Thus, administering the pure 35 R(-) isomer may reduce the teratogenic potential associated with albuterol.

#### Equivalents

Those skilled in the art will recognize, or be able to 40 phen and iterprofess. ascertain, using no more than routine experimentation.

many equivalents to the specific embodiments of the invention described berein. Such equivalents are intended to be encompassed in the scope of the following

## We claim:

L A method of treating asthma in an individual with albaterol, while reducing side effects associated with chronic administration of recemic albuterol, compusing. chronically administering to the individual a quantity of an optically pure R(-) isomer of albuterol sufficient to result in bronchodilation while simultaneously reducing undestrable side effects, said R isomer being substantially first of its S(+) isomer; I A method of class I wherein the amount of the

R(-) isomer of abuterol is greater than approximately
90% by weight of total abuterol
3. A method of claims 2 wherein the amount of the

R(-) isomer of albettered is greater than 99% by weight of total albuterol.

4.A method of claim I comprising administering to the individual by inhabition from approximately 30 meg. to approximately 90 meg of the R(—) isomer of al-imated per dose.

K. A method of claim I comprising traffy administer-

ing to the individual from approximately, I mg to approximately 2 mg to the R(...) isomer of albeterol two to four times daily.

6. A method of treating asthma in an individual with abuterol, while reducing side effects associated with chronic administration or recemic abuterol, comprising chronically administering to the individual a quantity of an optically pure R(—) issues of abuterol softistion to result to bronchodilation while simulaneously reducing undestriable side effects and at least one additional drug and strictly as a seven remarking of bronchodilators. 5. A method of treating asthma in an individual with sciented from the group consisting of broachodilators,
authoramines and malgeons.

7. A method of claim 6 wherein the analgesic is seleasted from the group consisting of: aspirin, acctaming-



UNITED STATE DEPARTMENT OF COMMERCE Patent and Travemark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C.: 20231

Philip E. Hansen
Heslin & Rothenberg, P.C.
5 Columbia Circle

Philip E. Hansen
Beslin & Rothenberg, P.C.
5 Columbia Circle
Albany, NY 12203-5160

SPECIAL PROGRAM
EXAMINATION UNIT

In re Application of
Barberich et al.
Application No.: 08/335,480 | DECISION ON PETITION
Filed: November 7, 1994
Docket No.: 0701.027C This is a decision on the petition filed December 27, 1994, requesting that the above-identified application be treated as a continuation application under 37 CFR 1:60 and accorded a filing date of November 7, 1994.

The application, which is a continuation application under 37 CFR 1.60, was deposited on November 7, 1994, Application Division mailed a Notice on December 16, 1994, stating that a copy of the prior application specification was missing, specifically noting pages 2 and 3 as being omitted, requiring a copy of the omitted application specification pages, and stating that the filing date of the application would be the date of receipt of the missing items. However, it is noted that prior application Serial No. 08/163,581 issued as Patent No. 5,362,755 on November 8, 1994. Therefore, a filling date on or before November 8, 1994, is necessary to establish copendancy between the prior application and the above-identified application in order for the above-identified application to be considered a proper filling under 37 CFR 1.60. under 37 CFR 1.60.

In response on December 27, 1994, a copy of the missing , specification pages were filed. The application was erroneously assigned a filing date of December 27, 1994, and the application was forwarded to Group 1200 for examination.

On March 9, 1995, a nonfinal Office action was mailed setting a three month shortened statutory period for response.

Subsequently, the application was forwarded to this Office for review of the petition filed December 27, 1994. The petition, includes a check for the \$130.00 petition fee. Petitioner argues that the failure to file a true copy of the prior application, on filing was inadvertent. Petitioner requests that the earlier filing date be accorded this application. 

Application No. 08/335,480

Page 2

A review of the application file, reveals that a copy of the A review of the application file, reveals that a copy of the prior application specification pages 2 and 3 are not among the application pagers filed November 7, 1994. Also, 8 total pages of specification, including the claim pages and abstract are identified on the copy of the postcard receipt accompanying the petition; whereas, 10 total pages of specification, including the claims and abstract were present in the prior application. Thus, it is concluded from the available evidence that a true copy of the prior application specification pages 2 and 3 were not submitted, on filing. submitted, on filing.

37 CFR 1.60(b) states, in part, that if a true copy of the prior application as filed is not filed with the application or if the statement that the application papers are a true copy is omitted, the application will not be given a filing date earlier than the date upon which the copy and statement are filed, unless a petition with the fee as set forth in 37 CFR 1.17(i)[I] is filed which satisfactorily explains the delay in filing these items.

In this application, the failure to file a true copy of the prior application, on filing, has been deemed to be an inadvertent error.

As construed above, the petition to accord the application a filing date of November 7, 1994, 1s granted.

The application is being forwarded to Application Division for correction of the records to reflect a November 7, 1994, filing date, and for further processing with the filing date of November 7, 1994, as a continuation application under 37 CFR 1.60 of prior application Serial No. 08/163,581, using the application papers filed November 7, 1994, and the copy of pages 2 and 3 of the prior application specification filed December 27, 1994.

Thereafter, the application will be returned to Examining Group 1200 to await response to the March 9, 1995, office action. The three month shortened statutory period for response continues to run from the March 9, 1995, date of mailing of that office action.

Fred A. Silverberg
Senior Legal Advisor
Special Program Law Office
Office of the Deputy Assistant Commissioner
for Patent Policy and Projects